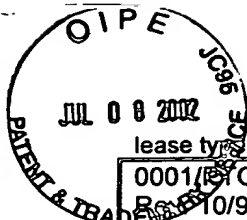


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#4



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Patent and Trademark Office: U.S. Department of Commerce

0001/PTO  
Revised 10/95  
U.S. Department of Commerce  
Patent and Trademark Office

Application Number	09/977,675
Filing Date	10/15/01
First Named Inventor	Robert F. Diebeck
Group Art Unit	
Examiner Name	
Attorney Docket Number	1453-00050

### TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of pages in this Submission

3+

### ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Response
- ☐ After final
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement/PTO-1449
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts Under 37.152 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Checklist and Accompanying Petition
- ☐ To Convert a Provisional Application
- ☐ Power of Attorney, Revocation, Change of Correspondence Address
- ☐ Terminal Disclaimer

- ☐ After Allowance Communication To Group
- ☐ Appeal Communication to Board Of Appeals and Interferences
- ☐ Appeal Communication to Group ( Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (Please identify below)

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Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual Name	Joseph J. Jochman, Jr. (Reg. No. 25,058) ANDRUS, SCEALES, STARKE & SAWALL, LLP 100 East Wisconsin Avenue, Suite 1100, Milwaukee, WI 53202
Signature	<i>Joseph J. Jochman</i>
Date	July 1, 2002

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### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date:

July 1, 2002

Typed or printed name Barbara A. Johnson

Signature

*Barbara A. Johnson*

Date

7/1/2002

#4

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Of:

ROBERT F. DIERBECK

COPY OF PAPERS  
ORIGINALLY FILED

Serial No.: 09/977,675

Filed: 10/15/2001

HEAT EXCHANGER ASSEMBLY WITH  
DISSIMILAR METAL

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

COMMISSIONER OF PATENTS  
Washington, D.C. 20231

Sir:

In accordance with the requirements of 37 CFR §§1.56, 1.97 and 1.98, applicant wishes to call to the attention of the Patent and Trademark Office the prior art listed on the enclosed Form 1449.

A copy of the listed patent is enclosed.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP

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Attorney Docket No: 1453-00050

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